

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

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**FORM 8-K**

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**CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(D)  
OF THE SECURITIES EXCHANGE ACT OF 1934**

**December 26, 2021**  
**Date of report (Date of earliest event reported)**

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**SEI INVESTMENTS COMPANY**

(Exact name of registrant as specified in its charter)

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**Pennsylvania**  
(State or Other Jurisdiction  
of Incorporation)

**0-10200**  
(Commission  
File Number)

**23-1707341**  
(I.R.S. Employer  
Identification No.)

**1 Freedom Valley Drive**  
**Oaks, Pennsylvania 19456**  
(Address of Principal Executive Offices and Zip Code)

**(610) 676-1000**  
(Registrant's Telephone Number, Including Area Code)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Securities registered pursuant to Section 12(b) of the Act:**

<b>Title of each class</b>	<b>Trading Symbol</b>	<b>Name of each exchange on which registered</b>
Common Stock, par value \$0.01 per share	SEIC	The NASDAQ Stock Market LLC

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Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On December 26, 2021, Stephen G. Meyer, Executive Vice President, Head of Global Wealth Management Services of SEI Investments Company (the "Company"), delivered his resignation, effective immediately, from all positions he held with the Company and its affiliates.

The Company's press release announcing Mr. Meyer's departure is attached as Exhibit 99.1 hereto and is incorporated herein by reference.

**Item 9.01. Financial Statements and Exhibits.**

<u>Exhibit No.</u>	<u>Description</u>
<a href="#">99.1</a>	<a href="#">Press Release dated December 28, 2021 of SEI Investments Company.</a>
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SEI INVESTMENTS COMPANY

Date: December 28, 2021

By: /s/ Dennis J. McGonigle  
Dennis J. McGonigle  
Chief Financial Officer

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# Press release.

## Company/Media Contact:

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Pages: 2

## **FOR IMMEDIATE RELEASE**

### **SEI Announces Leadership Change**

**OAKS, Pa., Dec. 28, 2021** - SEI® (NASDAQ: SEIC) today announced the departure of Steve Meyer as an Executive Vice President and Head of Global Wealth Management Services, effective immediately. Meyer's departure is unrelated to the company's strategy, operations, or financial results.

SEI's Global Wealth Management Services business will continue to be led by the following long-time executive management team members, who have proven industry expertise and a deep understanding of SEI's markets and clients. They will report directly to Alfred P. West, Jr., SEI Chairman and CEO.

- Albert Chiaradonna, SEI Wealth Platform<sup>SM</sup>, North America Private Banking (20 years with SEI)
- Sandy Ewing, TRUST 3000<sup>®</sup>, Private Banking (26 years with SEI)
- Phil McCabe, Investment Manager Services (33 years with SEI)
- Brett Williams, SEI Wealth Platform, U.K. Private Banking (eight years with SEI)

"Our company thrives as a result of the talented leadership we have today and continue to develop for the future," said West. "Al, Sandy, Phil, and Brett have deep industry expertise, are proven leaders, and have been central to their respective business lines' growth. They have developed and maintained trusted relationships with our clients, strategic partners, and workforce, continuing to enhance SEI's value. Under their established guidance, I'm confident we will continue building upon our strong foundation and providing tech-forward, comprehensive solutions that enable our clients' long-term success.

"On behalf of SEI's Board of Directors and executive management team, I thank all of our team members for the outstanding execution throughout the year, as we finish 2021 and head into 2022 with strong momentum."

#### **About SEI®**

SEI (NASDAQ:SEIC) delivers technology and investment solutions that connect the financial services industry. With capabilities across investment processing, operations, and asset management, SEI works with corporations, financial institutions and professionals, and ultra-high-net-worth families to solve problems, manage change and help protect assets—for growth today and in the future. As of

Sept. 30, 2021, SEI manages, advises, or administers approximately \$1.3 trillion in assets. For more information, visit [seic.com](https://seic.com).

This release contains forward-looking statements within the meaning or the rules and regulations of the Securities and Exchange Commission. In some cases you can identify forward-looking statements by terminology, such as “may,” “will,” “expect,” “believe” and “continue” or “appear.” Our forward-looking statements include our current expectations as to:

- our ability to develop talent,
- whether we will have strong momentum in 2022,
- whether our comprehensive solutions will enable our clients’ long-term success, and
- the drivers of our value.

You should not place undue reliance on our forward-looking statements, as they are based on the current beliefs and expectations of our management and subject to significant risks and uncertainties, many of which are beyond our control or are subject to change. Although we believe the assumptions upon which we base our forward-looking statements are reasonable, they could be inaccurate. Some of the risks and important factors that could cause actual results to differ from those described in our forward-looking statements can be found in the “Risk Factors” section of our Annual Report on Form 10-K for the year ended Dec. 31, 2020, filed with the Securities and Exchange Commission.

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