SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

3235-0104

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OMB Number:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940
of acculation of the investment company field 1940

1. Name and Address of Reporting Person* <u>Hicke Ryan</u>	2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol <u>SEI INVESTMENTS CO</u> [SEIC]					
(Last) (First) (Middle) 1 FREEDOM VALLEY DRIVE (Street) OAKS PA 19456 (City) (State) (Zip)	03/11/2023		Issuer (Check all applicable) X Director X Officer (give title below)	tor 10% Owner er (give Other (specify		5. If Amendment, Date of Original Filed (Month/Day/Year) 03/11/2023 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
	able I - Nor	n-Derivat	ive Securities Benefic	cially Ov	vned			
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or In	3. Ownership 4.		4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock			6,812	I	I By 4		By 401(k) Plan	
Common Stock			1,171	I	I By En Plan		By Employee Stock Purchase Plan	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/	ate	3. Title and Amount of Se Underlying Derivative Se (Instr. 4)	ecurity Convers		rcise		6. Nature of Indirect Beneficial Ownership (Instr.
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Derivative			5)
Option to Purchase Common Stock	02/28/2014	12/14/2020	Common Stock	15,000	23.8	36	D	
Option to Purchase Common Stock	12/13/2015	12/13/2021	Common Stock	25,000	15.7	77	D	
Option to Purchase Common Stock	12/13/2016	12/11/2022	2 Common Stock	7,500	22.4	45	D	
Option to Purchase Common Stock	12/31/2013	04/16/2023	3 Common Stock	12,500	28.2	28	D	
Option to Purchase Common Stock	12/31/2017	04/16/2023	3 Common Stock	12,500	28.2	28	D	
Option to Purchase Common Stock	12/31/2015	12/10/2023	3 Common Stock	12,500	33.7	76	D	
Option to Purchase Common Stock	12/31/2018	12/10/2023	3 Common Stock	12,500	33.7	76	D	
Option to Purchase Common Stock	12/31/2017	12/09/2024	Common Stock	10,000	40.6	54	D	
Option to Purchase Common Stock	02/23/2022	12/09/2024	4 Common Stock	10,000	40.6	54	D	
Option to Purchase Common Stock	12/31/2017	12/08/2025	5 Common Stock	12,000	53.3	34	D	
Option to Purchase Common Stock	12/31/2018	12/08/2025	5 Common Stock	12,000	53.3	34	D	
Option to Purchase Common Stock	12/31/2017	12/13/2026	6 Common Stock	17,500	49.6	53	D	
Option to Purchase Common Stock	12/31/2019	12/13/2026	6 Common Stock	17,500	49.6	53	D	
Option to Purchase Common Stock	02/23/2022	12/12/2027	7 Common Stock	12,500	71.1	2	D	
Option to Purchase Common Stock	12/31/2021	12/12/2027	7 Common Stock	12,500	71.1	12	D	
Option to Purchase Common Stock	02/23/2022	12/11/2028	3 Common Stock	17,500	48.4	47	D	

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exerce Expiration Da (Month/Day/	ate	d 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr.
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)
Option to Purchase Common Stock	12/31/2021	12/11/2028	Common Stock	17,500	48.47	D	
Option to Purchase Common Stock	02/22/2022	12/09/2029	Common Stock	20,000	64.43	D	
Option to Purchase Common Stock	12/31/2021	12/09/2029	Common Stock	20,000	64.43	D	

Explanation of Responses:

Remarks:

Exhibit 24 - Power of Attorney *Note: At the time the reporting person filed the Form 3 being amended by this Amendment No. 2, he was Executive Vice President of the Issuer. The reporting person became Chief Executive Officer of the Issuer, and a member of the Board of Directors, on June 1, 2022. This Amendment No. 2 to Form 3 is being filed to report that on the date the original Form 3 was filed, the reporting person owned the options with expiration dates of April 16, 2023 and later, which were not previously reported. All other information included herein is as of March 11, 2020 and was previously reported on the original Form 3 or Amendment No. 1 thereto.

/s/ Ryan Hicke by Michael	
N. Peterson, attorney in	<u>06/15/2023</u>
<u>fact</u>	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Known all by these presents that the undersigned hereby constitutes and appoints each of Michael Peterson, Diane Gallagher, Bridget Garvey and Venita Knight, signing singly, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of SEI Investments Company (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, and in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in- fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed on this day June 14, 2023.

<u>/s/ Ryan Hicke</u> RYAN HICKE