SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

							Washir		205	40								1
				Washington, D.C. 20549										OMB APPROVAL				
Section 16. Form 4 or Form 5 obligations may continue. See						A pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940									Estim		er: verage burde esponse:	3235-0287 en 0.5
transa contra the pu securi to sati condit	iction was m ict, instruction irchase or satisfies of the is sfy the affirm	indicate that a ade pursuant tu in or written pla ale of equity suer that is inten native defense 10b5-1(c). See	n for ended															
1. Name and Address of Reporting Person [*] Peterson Michael						2. Issuer Name and Ticker or Trading Symbol <u>SEI INVESTMENTS CO</u> [SEIC]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify				
(Last) 1 FREE	DOM VA	(First) LLEY DRI		(Middle) 3. Date of Earliest Transaction (Month/Day/Year) below))	below) FIVE VICE PRESIDENT				
(Street) OAKS	Line) Line) 🔽 🕅 🕅) / Form	or Joint/Group Filing (Check Applicable m filed by One Reporting Person m filed by More than One Reporting						
(City)	Person									n			Ū					
			Tab	ole I - Nor	n-Deriva	ative S	ecurities Ac	quired	, Dis	posed	of, o	r Bene	eficiall	y Owned	k			
Dat				2. Transa Date (Month/D		2A. Deemed Execution Date, if any (Month/Day/Year	Code	Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)			4 and Securities Beneficiall Owned Fol		Form (D) o	wnership n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
							Code	Code V			(A) or (D)	Price	Transac	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Common Stock 12/12					12/12	/2024		Α		7,000	(1)	A	(2)	13	,500		D	
				Table II -	Derivat (e.g., pi	ive Seo uts, cal	curities Acqu lls, warrants	uired, I , optio	Dispo ns, c	osed of onverti	, or ble	Benef securi	icially ities)	Owned				
1. Title of	2.	3. Transa	3. Transaction 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and					itle and	Amount	8. Price of	9. Numbe	r of	10.	11. Nature				

s	erivative ecurity nstr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
P	Option to urchase Common tock	\$86.58	12/12/2024		A		36,000		(3)	12/12/2034	Common Stock	36,000	(2)	36,000	D	

Explanation of Responses:

1. Restricted Stock Units subject to vesting.

2. Received as employment compensation.

3. Vest on the later of (a) December 12, 2026, and (b) the date on which the Issuer achieves adjusted earnings per share that is equal to or greater than an amount that is 25% more than the Issuer's adjusted earnings per share as December 31, 2024, in each case based upon the financial statements of the Issuer included in its Annual Report on Form 10-K for the applicable year and subject to certain adjustments.

Remarks:

/s/ Michael Peterson by Diane	12
Gallagher, attorney-in-fact	<u>12/</u>

** Signature of Reporting Person

/16/2024

Date

IF

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Known all by these presents that the undersigned hereby constitutes and appoints each of Michael Peterson, John Munch, Lindsay Barci, Diane Gallagher, Bridget Garvey and Venita Knight, signing singly, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of SEI Investments Company (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, and in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in- fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact, or at such time as any of the foregoing attorneys-in-fact cease to be employees of SEI Investments Company, this Power of Attorney shall no longer be in effect with respect to that former employee.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed on this day <u>November 13</u> 2023.

/s/ Michael Peterson

MICHAEL PETERSON

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