SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

05

hours per response:

Filed pursuant to	Section 16(a)	of the Se	curities Exchar	nge Act of 1934

		30(h) of the					
Filed	pursuant to	Section 16(a) of the	Securities	Exchange	Act of 1934	

	ddress of Reporting	Person*	2. Issuer Name and Ticker or Trading Symbol <u>SEI INVESTMENTS CO</u> [SEIC]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>WEST ALFRED P JR</u>				X	Director	Х	10% Owner			
(Last) (First) (Middle) 1 FREEDOM VALLEY DRIVE		(<i>, ,</i>	3. Date of Earliest Transaction (Month/Day/Year) 09/11/2023	X	Officer (give title below) Executive Chai		Other (specify below) rman			
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	vidual or Joint/Grou	o Filing	(Check Applicable			
(Street) OAKS	РА	19456		X	Form filed by One Form filed by Mo	•	0			
(City)	(State)	(Zip)			Person					
			Rule 10b5-1(c) Transaction Indication							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		ransaction Disposed Of (D) (Instr. 3, 4 and 5) Code (Instr.				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	09/11/2023		S		18,808	D	\$61.02(1)	8,340,651	D		
Common Stock	09/12/2023		S		69,540	D	\$60.49(2)	8,271,111	D		
Common Stock	09/12/2023		S		900	D	\$61.02 ⁽³⁾	8,270,211	D		
Common Stock	09/13/2023		S		43,192	D	\$60.14(4)	8,227,019	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(0.9.) P	,			,		onvortais			,															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv	r osed) :. 3, 4	Expiration Date (Month/Day/Year) S		e and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)												
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares																

Explanation of Responses:

1. Represents the weighted average of a range of sale prices from \$60.89 to \$61.13. The reporting person undertakes to provide to the Staff of the Securities and Exchange Commission, the Company or any stockholder of the Company, upon request, full information regarding the number of shares sold at each separate price.

2. Represents the weighted average of a range of sale prices from \$59.91 to \$60.90. The reporting person undertakes to provide to the Staff of the Securities and Exchange Commission, the Company or any stockholder of the Company, upon request, full information regarding the number of shares sold at each separate price.

3. Represents the weighted average of a range of sale prices from \$60.96 to \$61.12. The reporting person undertakes to provide to the Staff of the Securities and Exchange Commission, the Company or any stockholder of the Company, upon request, full information regarding the number of shares sold at each separate price.

4. Represents the weighted average of a range of sale prices from \$59.85 to \$60.57. The reporting person undertakes to provide to the Staff of the Securities and Exchange Commission, the Company or any stockholder of the Company, upon request, full information regarding the number of shares sold at each separate price.

/s/ Alfred P. West, Jr., by John

Munch, attorney in fact

** Signature of Reporting Person Date

09/13/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).